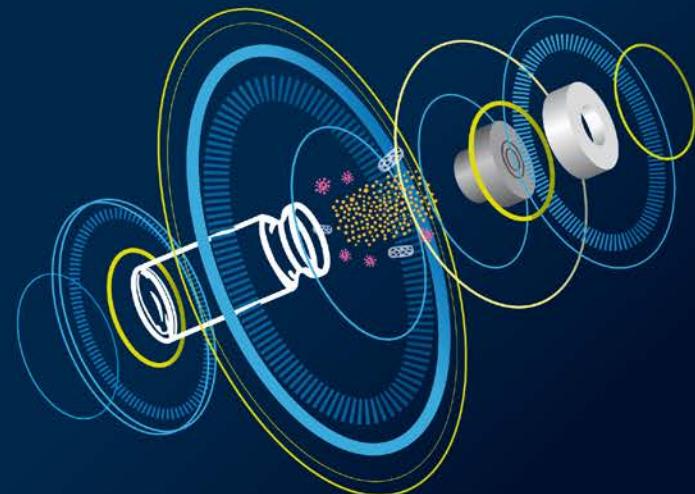


# Alternative Sterilization Techniques for Elastomeric Components for Primary Packaging

Bram Jongen,  
Datwyler  
March 20, 2019, Venice/IT



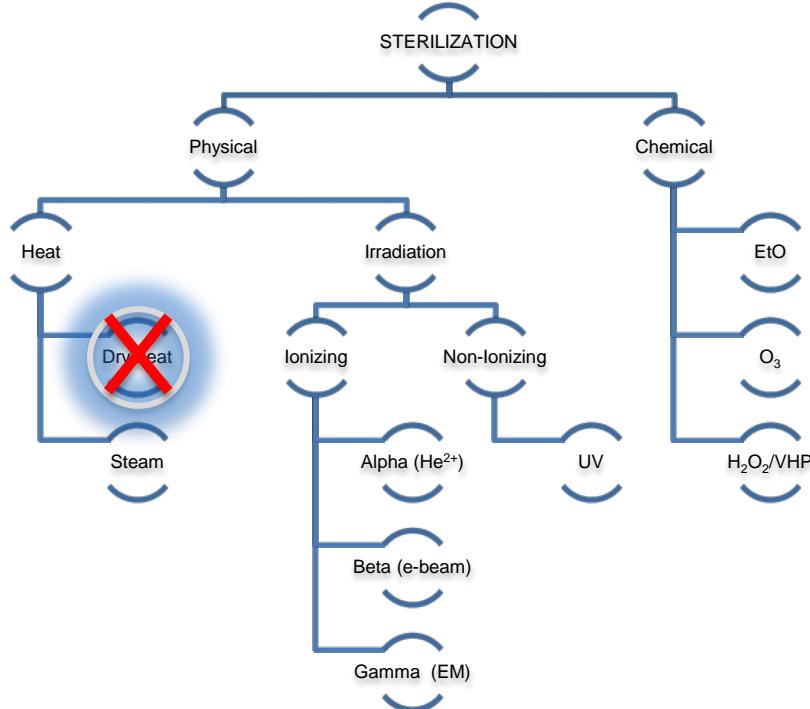
2019 PDA EUROPE  
**Parenteral Packaging**  
Interaction of Product, Package, and Process

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# Topics for Today

- Overview most popular sterilization techniques for elastomers currently used in the industry
- Impact of X-ray sterilization on physical and functional properties compared to gamma irradiation
- Impact of ClO<sub>2</sub> on chemical and functional properties of different rubber formulations

# Overview sterilization techniques for elastomers



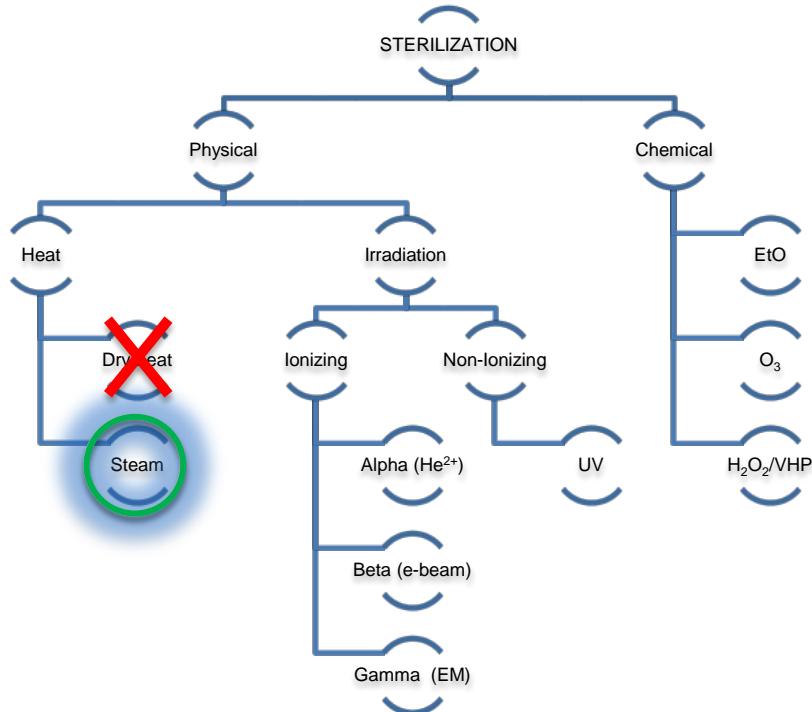
## Dry heat:

Typical 2h @ 160°C or 6-9min @ 190°C

## Rubber:

(-) Rubber further cures at these temperatures  
 → chemical and physical properties are negatively affected

# Overview sterilization techniques for elastomers



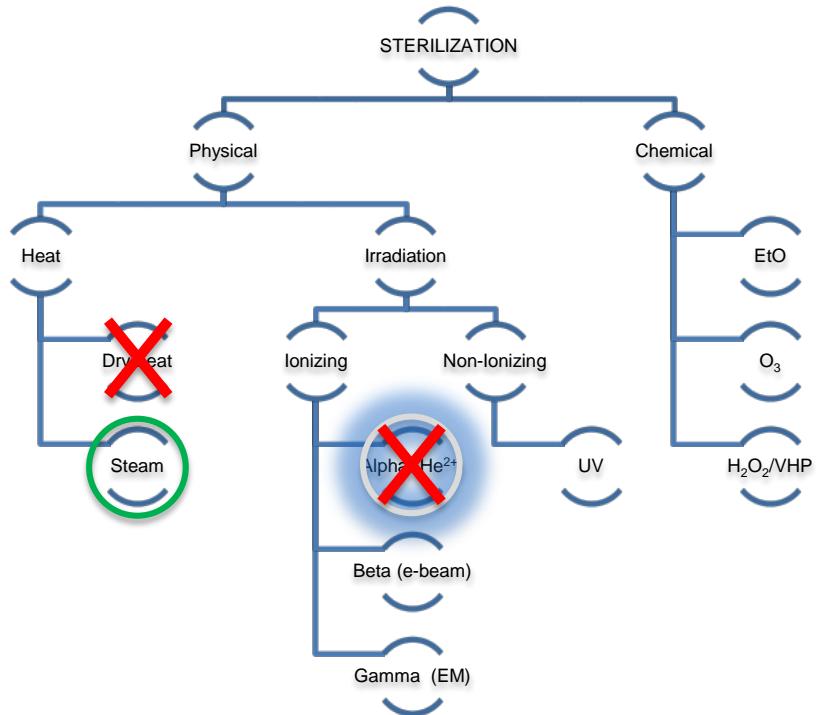
## Steam:

"autoclavation" - Typical 30min @ 121°C  
 Material to sterilize must be physically accessible to steam  
 Well-known and accepted method

## Rubber:

- (+) No measurable effect on chemical/physical properties
- (-) Subsequent drying step may affect rubber (~dry heat)
- (-) Moisture content of rubber

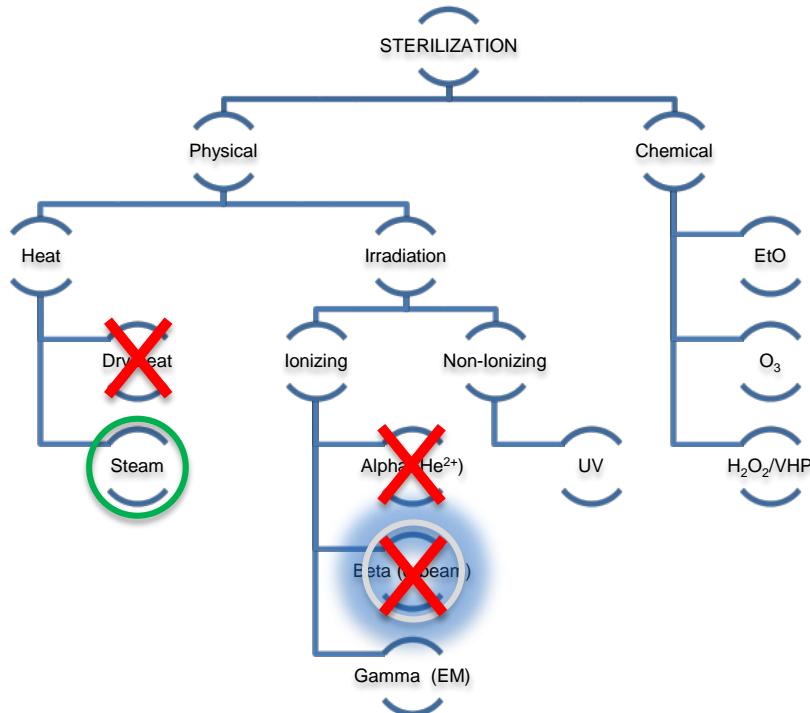
# Overview sterilization techniques for elastomers



## Alpha Irradiation:

Not suitable because of the very low penetration depth

# Overview sterilization techniques for elastomers

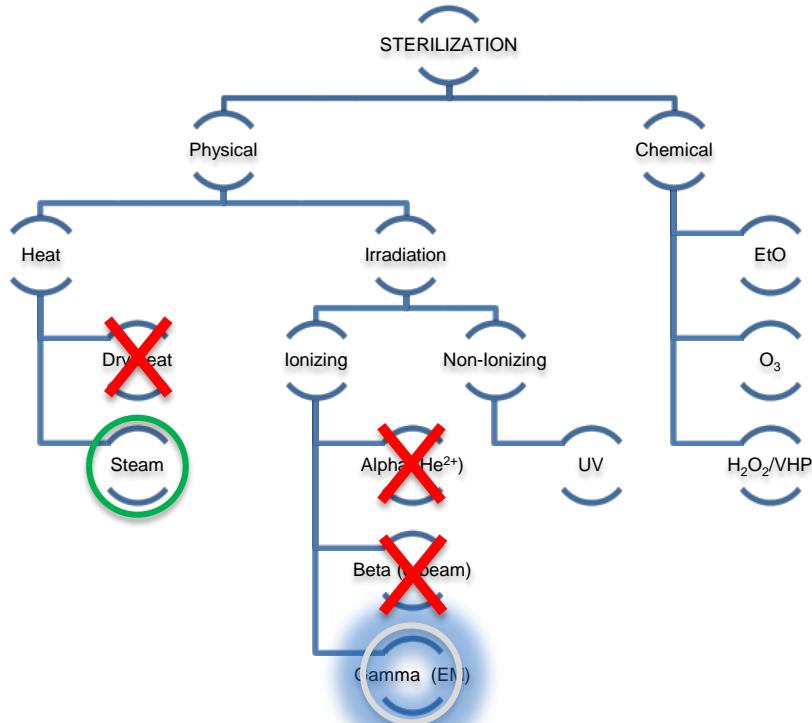


**Beta Irradiation:**  
 "e-beam" - intermediate penetrability

Only used for low density products, single product irradiation or bulk packing with low density (e.g. trays, empty bottles)

Bulk packaging of rubber closures is very dense (specific gravity : 1.2-1.4 g/cm<sup>3</sup>), so not suitable for bulk sterilization

# Overview sterilization techniques for elastomers



**Gamma Irradiation:**  
 “ElectroMagnetic waves” – high penetrability

Can be used for (dense) bulk sterilisation, even full pallet configurations

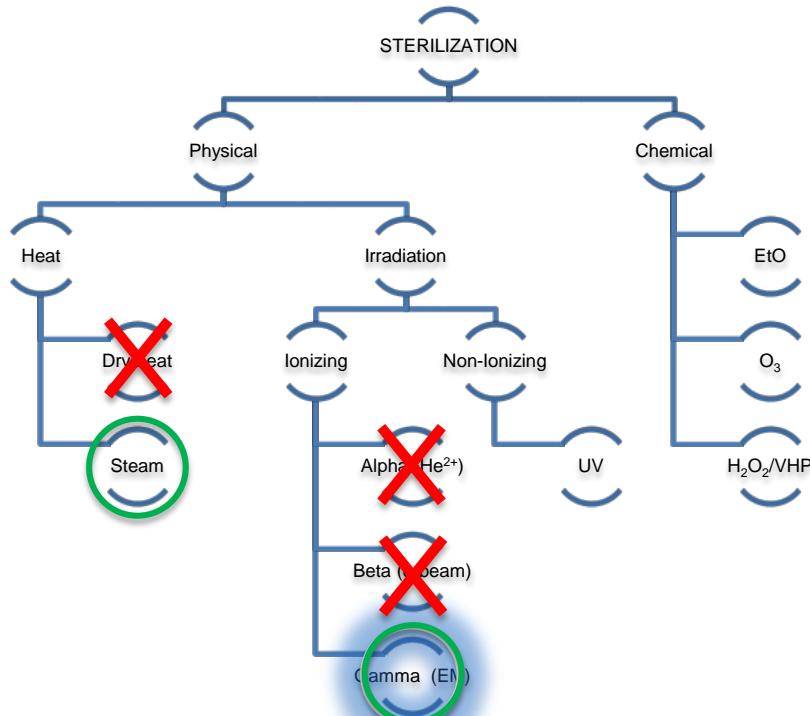
Increased popularity :

- Effective, reliable, clean
- Cost effective
- No residuals, no radioactivity, low temperature impact

ISO 11137 is solid guidance

Effect of irradiation is cumulative  
 Irradiation dose gradient!

# Overview sterilization techniques for elastomers

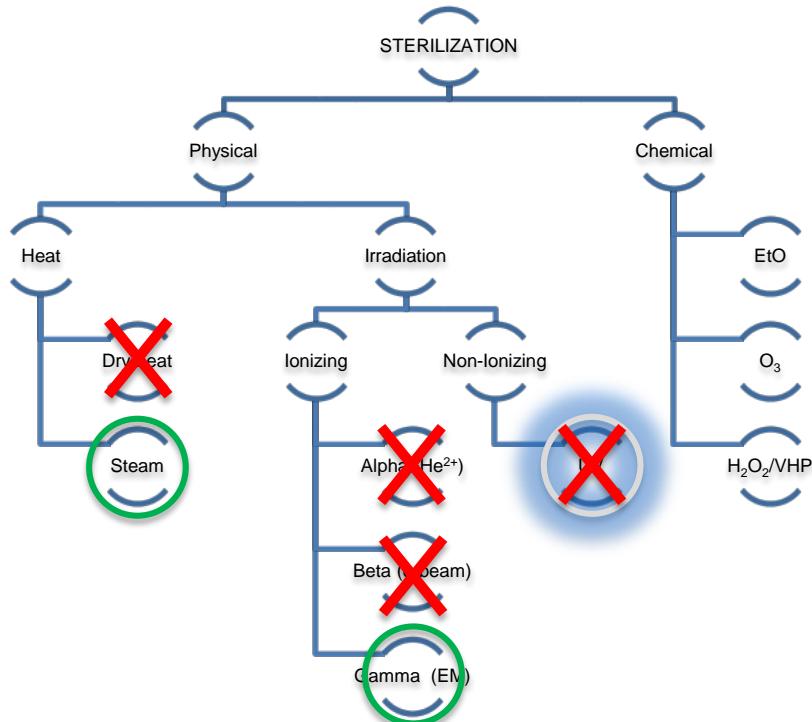


**Gamma Irradiation:**  
 “ElectroMagnetic waves” – high penetrability

Rubber:

- Chemical properties are hardly changed
- Physical/Functional properties may alter, depending on compound choice
  - Fragmentation
  - Stickiness
  - Hardness
  - Gliding behavior

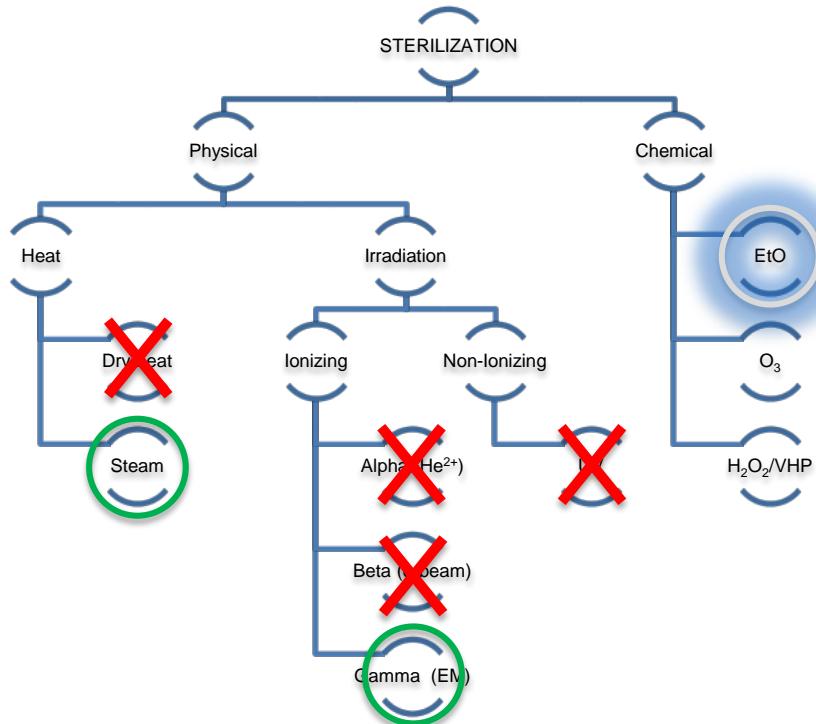
# Overview sterilization techniques for elastomers



## UV:

Not suitable for bulk sterilization due to very limited penetrability.  
 May be used to sterilize surfaces.

# Overview sterilization techniques for elastomers



## Ethylene Oxide:

Ethylene oxide is widely used and sterilizes around 50% of all disposable medical devices!

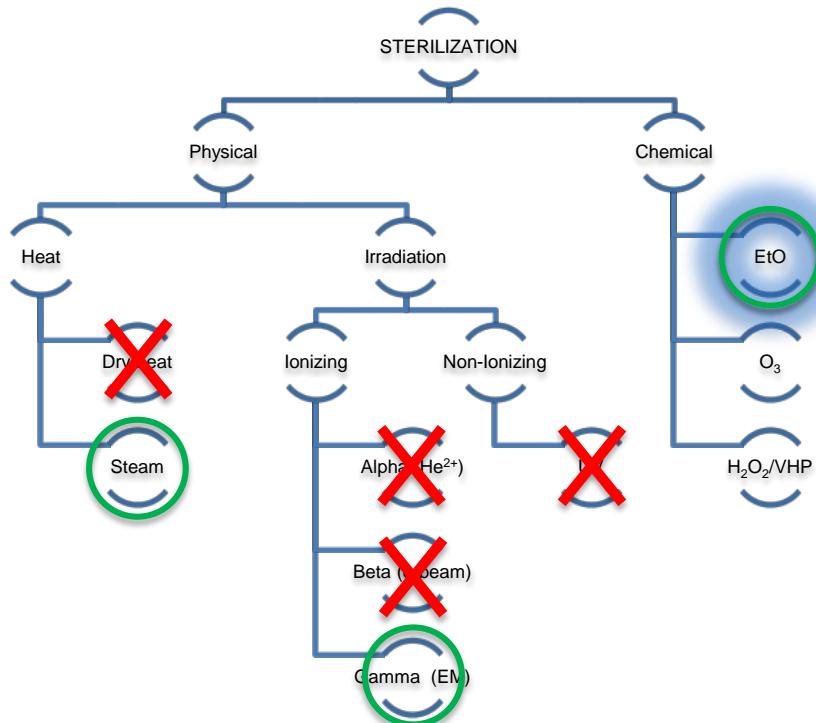
Effectiveness depends on many variables (p, t, gas conc., T, RH) → monitoring is complex

Requires time for aeration after sterilization to remove toxic residues (Ethylene ChloroHydrin, Ethylene Glycol)

Penetrates through paper, cloth, and some thin plastic films

Sterilization of fully packaged goods (e.g. blisters)

# Overview sterilization techniques for elastomers



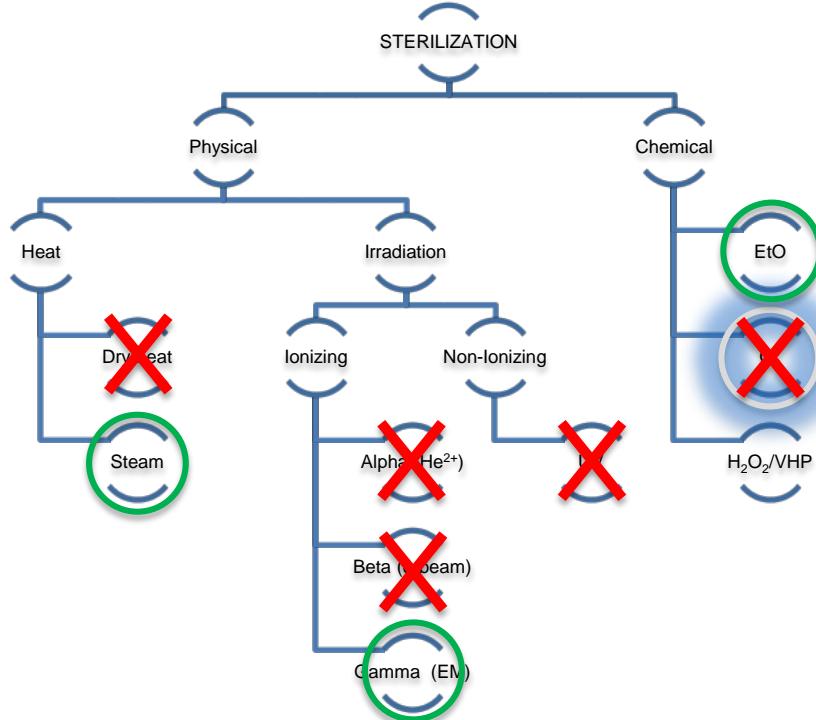
## Ethylene Oxide:

Rubber:

- Not used anymore for bulk sterilisation
- Absorption of EtO (EtO-residues) in rubber  
Extra precautions needed.
- Used in specific applications (w.glass):
  - Disposable syringes
  - Prefillable syringes (Needle Shields/Tip Caps)

→ dedicated rubber types with high EtO-permeability

# Overview sterilization techniques for elastomers



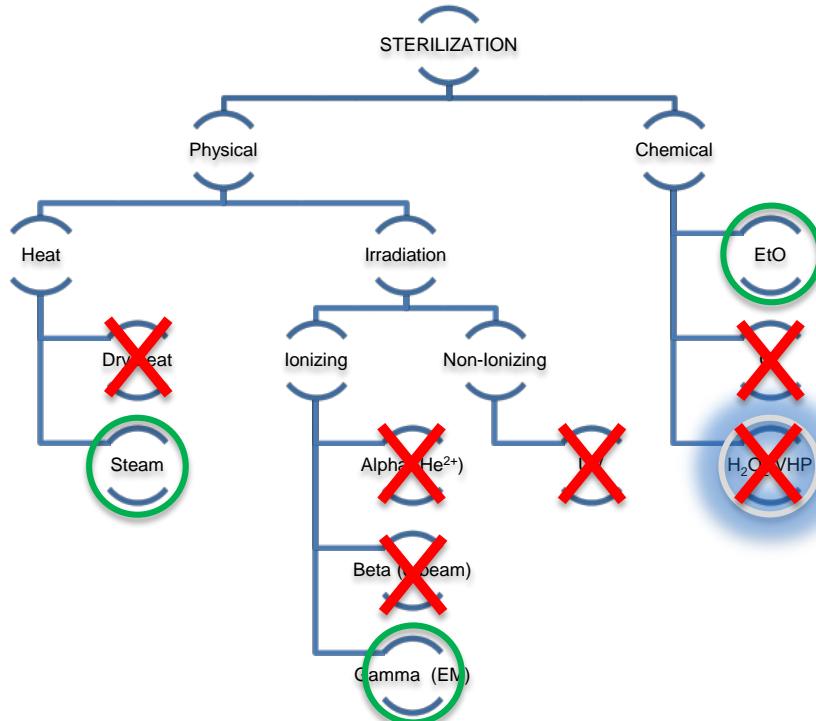
## Ozone:

Very reactive, unstable and toxic  
Strong oxidizing power

## Rubber:

Oxidation may occur

# Overview sterilization techniques for elastomers



H<sub>2</sub>O<sub>2</sub>/VHP:

Strong oxidizing power

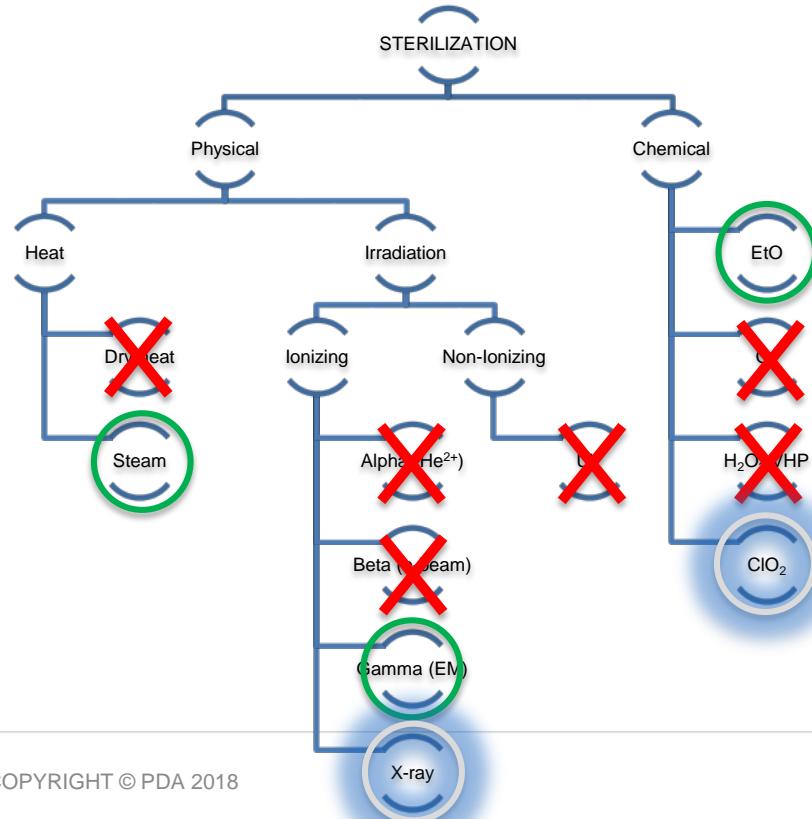
Material compatibility, a lower capability for penetration and operator health risks

Used in Cleanroom or to sterilize surfaces

Rubber:

No suitable for bulk sterilization

# Overview sterilization techniques for elastomers



## X-Ray:

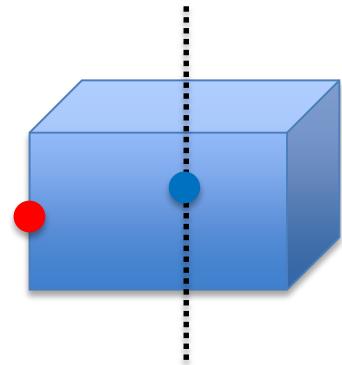
Electromagnetic Waves  
 Very similar to Gamma  
 FDA recognized  
 No need of  ${}^{60}\text{Co}$  or  ${}^{137}\text{Cs}$   
 Simple on/off machine  
 Photons generated via electricity  
**~Alternative to gamma**

## ClO<sub>2</sub>:

Similar to EtO (oxidizing effect)  
 No toxic side residues  
 FDA recognized  
**~Alternative to EtO**

# X-Ray Sterilization

- Advantages:
  - Penetration slightly better than gamma rays
    - Easy full pallet sterilization
  - Dose Uniformity Ratio (DUR)
    - Coldest and Hottest spot closer together → less overdose needed
  - Short exposure time
    - Less adverse effects on polymer
  - Processing flexibility
    - Incremental dosing: e.g. 10 passes of 2.5kGy = 25kGy
  - On/Off equipment
    - Works on electricity, not with radioactive material

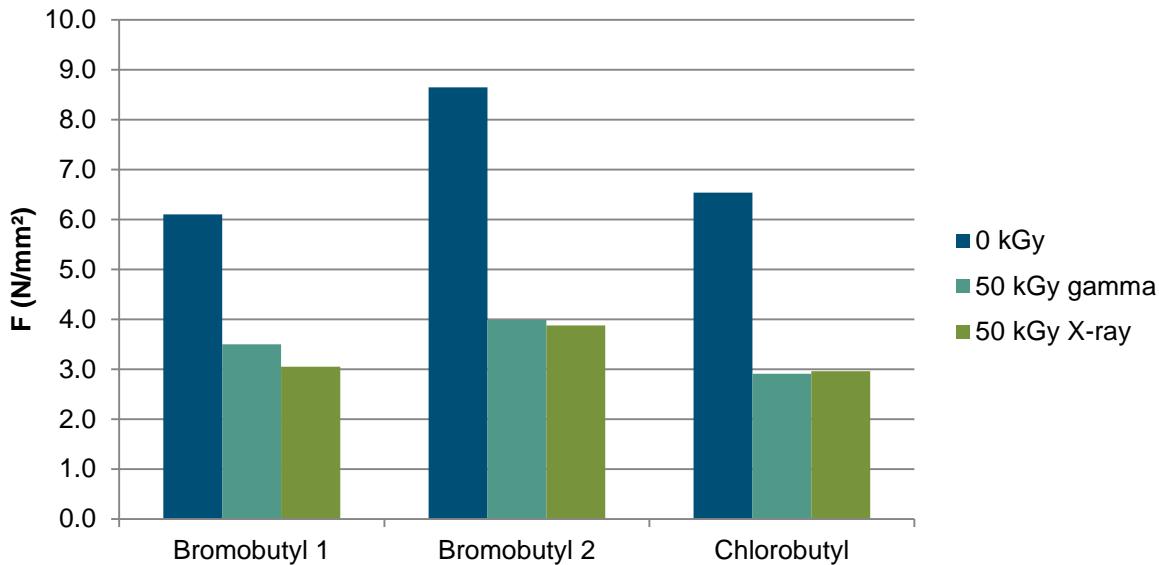


# X-Ray Sterilization

- X-Ray sterilization as alternative to Gamma sterilization : how does it affect rubber?
- The following slides show comparative data for selected physical/functional properties that are known to be influenced
  - Tensile strength
  - Hardness
  - Fragmentation
  - Break Loose and Gliding force

# X-Ray Sterilization

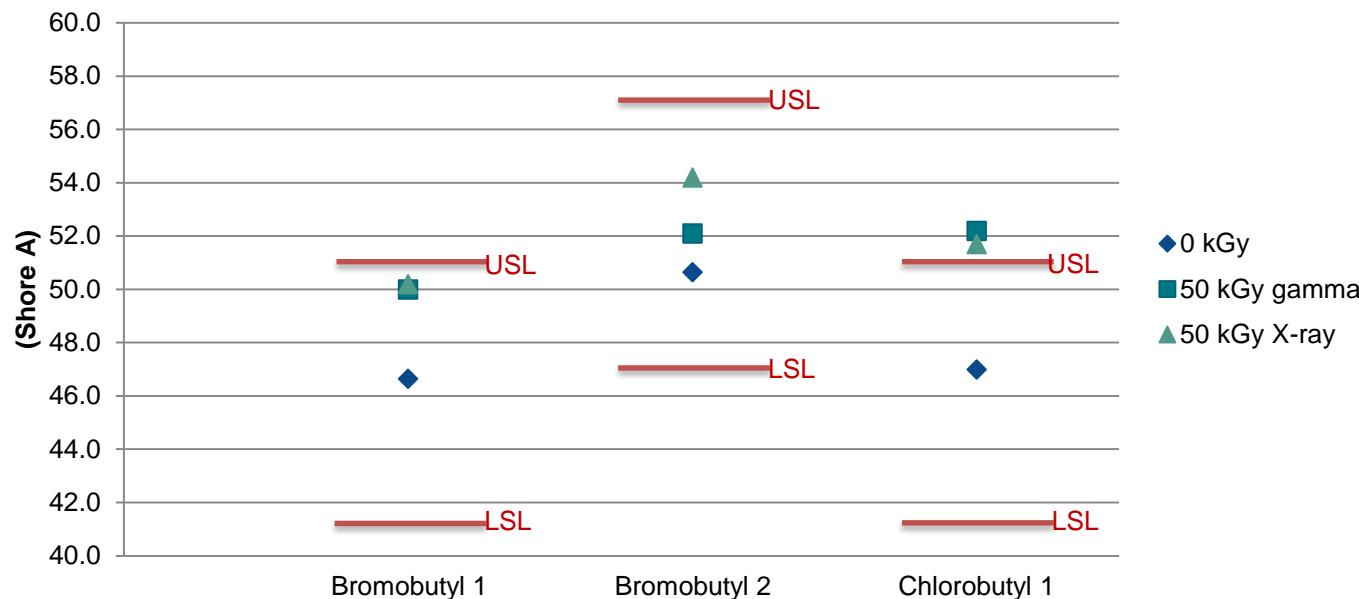
## Tensile Strength



- Methodology :
  - 3 different rubber formulations
  - Sterilized X-ray and Gamma
  - Tensile strength measured on test pieces as per Internal method. 200mm/min, ISO 37 Dumb-bell 2

# X-Ray Sterilization

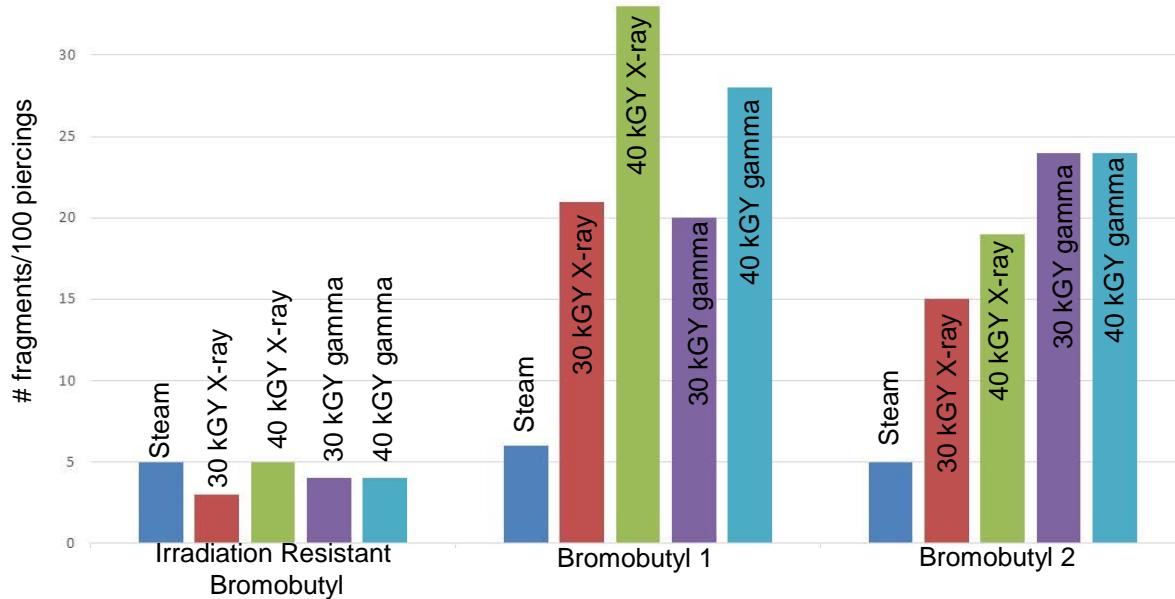
## Hardness



- Methodology :
  - 3 different rubber formulations
  - Sterilized X-ray and Gamma
  - Hardness measured on test pieces as per ISO 7619-1

# X-Ray Sterilization

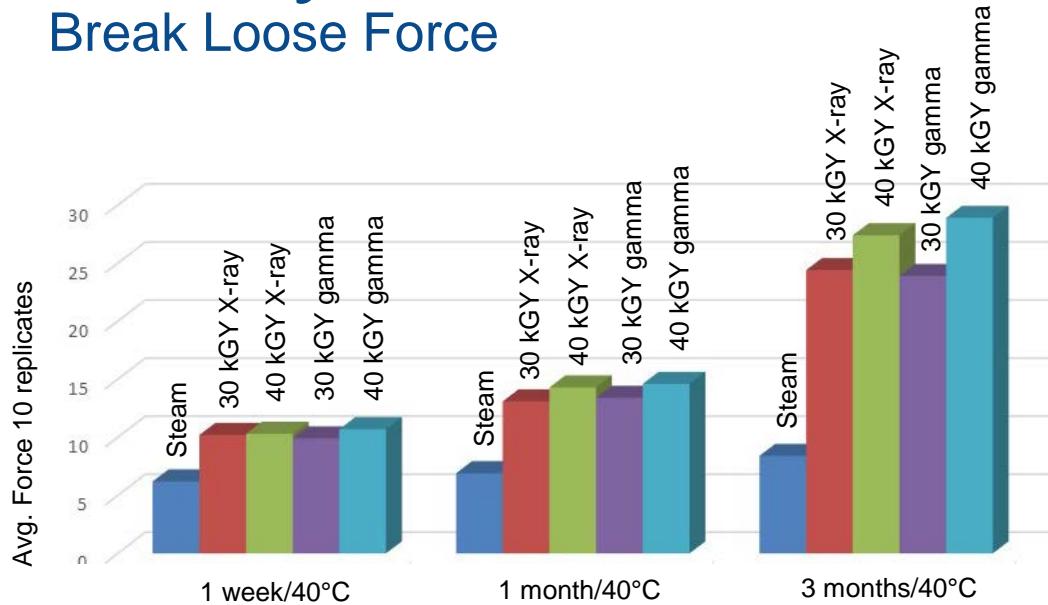
Fragmentation according Pharm.Jap.



- Methodology :
  - 3 different rubber formulations
  - Sterilized X-ray and Gamma
  - Fragmentation using 1.2mm (18G) needles, 5x per stopper, 20 stoppers in total

# X-Ray Sterilization

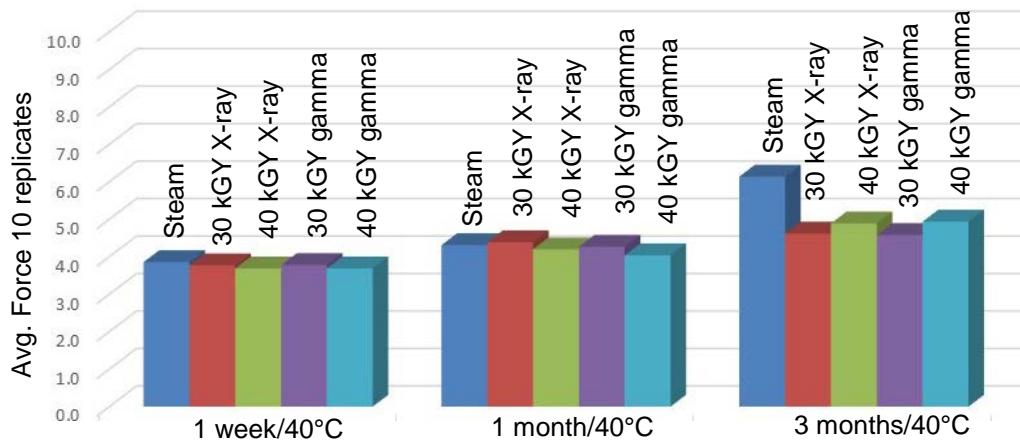
## Break Loose Force



- Methodology :
  - Bromobutyl coated
  - Sterilized X-ray and Gamma
  - 1-3mL ISO design, water filled
  - Break Loose Force Tested on Zwick tensile bench

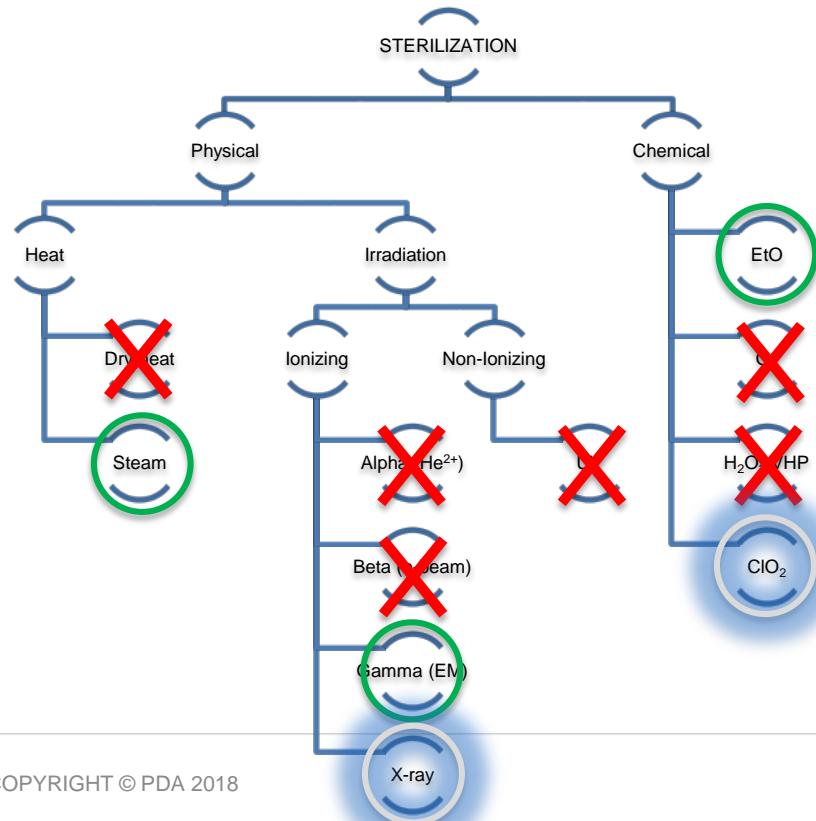
# X-Ray Sterilization

## Glide Force

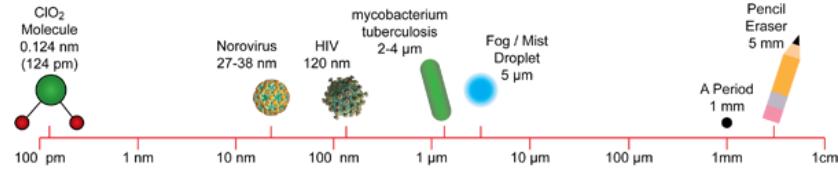


- Methodology :
  - Bromobutyl coated
  - Sterilized X-ray and Gamma
  - 1-3mL ISO design, water filled
  - Average Glide Force tested on Zwick tensile bench

# Overview sterilization techniques for elastomers



# $\text{ClO}_2$ Sterilization



Source: [www.clordisys.com](http://www.clordisys.com)

- Sterilization mechanism : Oxidation and thus breaking up cells and inactivate them
- Advantages:
  - Good penetrability (through porous surfaces, cracks and crevices and can even penetrate through organic matter) thanks to small molecular size
  - Gas, not a vapor → easier to control/develop and validate
  - No residual harmful chemicals

# ClO<sub>2</sub> Sterilization

- ClO<sub>2</sub> as alternative to EtO sterilization : How does it affect rubber?
- The following slides show comparative data for selected chemical/functional properties that are known to be influenced:
  - Fragmentation – Resealability – Penetrability
  - Chemical cleanliness
  - WFI compatibility of rubber
  - Extractables study

# $\text{ClO}_2$ Sterilization

## Fragmentation – Resealability – Penetrability

Material	$\text{ClO}_2$ exposure (ppm-hrs)	# fragments /48 piercings $\leq 5$ visible fragments*	# leaking vials No leaks*	/10	Piercing force (N) $\leq 10 \text{ N}^*$
<b>Needle Shield SBR</b>	0	1	0		3.2
	720 (std dose)	3	0		3.3
	14400	3	0		3.7
<b>Irradiation resistant Bromobutyl</b>	0	0	0		3.6
	720	1	0		3.4
	3600	2	0		3.4
	14400	1	0		3.6
<b>Irradiation resistant Bromobutyl COATED</b>	0	2	0		3.0
	720	3	0		3.1
	3600	1	0		3.2
	14400	1	0		3.1

- Methodology :
  - 3 different rubber formulations
  - Sterilized with different  $\text{ClO}_2$  doses
  - Tested as per USP <381>, Pharm.Eur. 3.2.9. – functional part

# $\text{ClO}_2$ Sterilization

## Chemical cleanliness - Needle Shield SBR

Needle Shield SBR	$\text{ClO}_2$ (ppm-hrs) /EtO	Turb.	Color	Alkal.	Abs.	Red. Subst. $\leq 3.0$	Heavy metals $\leq 2$	Zn $\leq 5.0$	Amm $\leq 2$	Res. Evap. $\leq 2.0$	Vol. Sulph.
$\text{ClO}_2$	0	2.76	Pass	0.07	0.06	0.58	<2	0.11	<2	0.50	<0.02
	720 (std)	0.23	Pass	0.07	0.10	0.99	<2	0.61	<2	0.00	<0.02
	14400	0.04	Pass	0.32	0.34	3.35	<2	0.80	<2	1.00	<0.02
$\text{EtO}$	before	2.70	Pass	0.03	0.04	0.63	<2	0.39	<2	0.00	<0.02
	after	5.20	Pass	0.03	0.29	2.86	<2	0.53	<2	0.00	<0.02

- Methodology :
  - 3 different rubber formulations
  - Sterilized with different  $\text{ClO}_2$  doses
  - Tested as per USP <381>, Pharm.Eur. 3.2.9. – chemical part
  - Compared with EtO resp. Gamma irradiated references

# $\text{ClO}_2$ Sterilization

Chemical cleanliness - Irradiation resistant Bromobutyl

Irradiation resistant Bromobutyl	$\text{ClO}_2$ (ppm-hrs) / $\gamma$ (kGy)	Turb.	Color	Alkal.	Abs.	Red. Subst.	Heavy metals	Zn	Amm	Res. Evap.	Vol. Sulph.
$\text{ClO}_2$	0	0.03	Pass	0.07	0.01	0.07	<2	0.02	<2	0.60	<0.02
	720	0.02	Pass	0.07	0.01	0.18	<2	0.02	<2	0.80	<0.02
	3600	0.01	Pass	0.07	0.03	0.20	<2	0.03	<2	0.20	<0.02
	14400	0.01	Pass	0.06	0.03	0.19	<2	0.03	<2	0.00	<0.02
$\gamma$ -rad.	0	0.02	Pass	0.06	0.01	0.04	<2	0.01	<2	0.31	<0.02
	25	0.02	Pass	0.06	0.01	0.03	<2	0.01	<2	0.38	<0.02
	40	0.02	Pass	0.06	0.01	0.04	<2	0.01	<2	0.51	<0.02

- Methodology :
  - 3 different rubber formulations
  - Sterilized with different  $\text{ClO}_2$  doses
  - Tested as per USP <381>, Pharm.Eur. 3.2.9. – chemical part
  - Compared with EtO resp. Gamma irradiated references

# $\text{ClO}_2$ Sterilization

Chemical cleanliness - Irradiation resistant Bromobutyl COATED

Irradiation resistant Bromobutyl COATED	$\text{ClO}_2$ (ppm- hrs) / $\gamma$ (kGy)	Turb.	Color	Alkal.	Abs.	Red. Subst.	Heavy metals	Zn	Amm	Res. Evap.	Vol. Sulph.
$\text{ClO}_2$	0	0.02	Pass	0.07	0.02	0.06	<2	0.01	<2	0.00	<0.02
	720	0.02	Pass	0.07	0.01	0.18	<2	0.01	<2	0.80	<0.02
	3600	0.01	Pass	0.07	0.02	0.02	<2	0.01	<2	0.00	<0.02
	14400	0.01	Pass	0.06	0.02	0.20	<2	0.00	<2	0.00	<0.02
$\gamma$ -rad.	0	0.12	Pass	0.07	0.01	0.04	<2	0.01	<2	0.00	<0.02
	25	0.09	Pass	0.10	0.01	0.07	<2	0.01	<2	0.00	<0.02
	40	0.09	Pass	0.10	0.01	0.03	<2	0.01	<2	0.13	<0.02

- Methodology :
  - 3 different rubber formulations
  - Sterilized with different  $\text{ClO}_2$  doses
  - Tested as per USP <381>, Pharm.Eur. 3.2.9. – chemical part
  - Compared with EtO resp. Gamma irradiated references

# $\text{CO}_2$ Sterilization

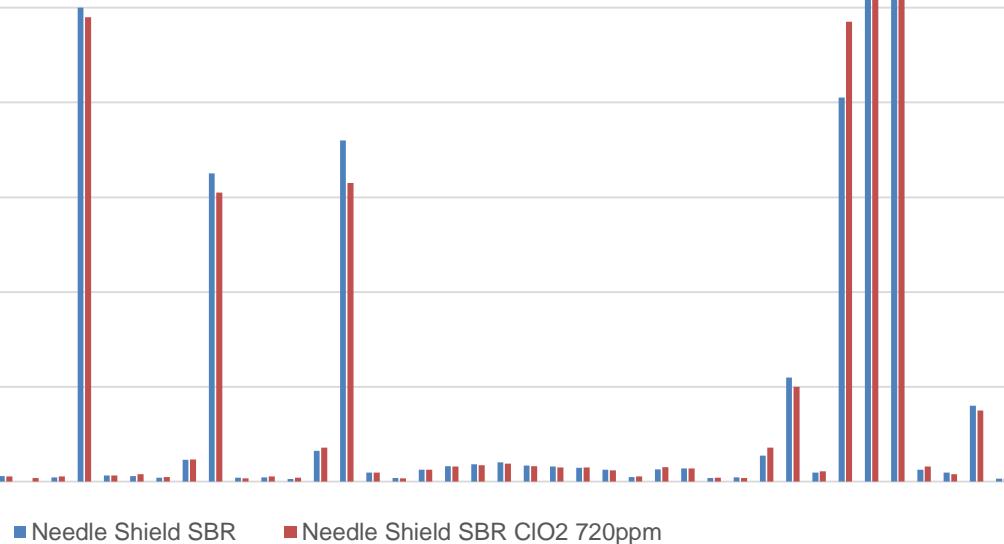
WFI compatibility of rubber - Irradiation resistant Bromobutyl (COATED)

Material	$\text{CO}_2$ exposure (ppm-hrs)	$\text{Cl}^-/\text{Br}^-$ (NTU) $\leq 2.29$	Absorb. $\leq 1.0$	pH units 5-7	pH shift $\leq 1$	Reducing subst. $\leq 2.0 \text{ ml}$ 0.002M $\text{KMnO}_4$
<b>Irradiation resistant Bromobutyl</b>	0	1.50	0.06	6.27	0.27	1.04
	720	2.07	0.08	5.97	-0.03	1.54
	3600	<b>3.29</b>	0.11	5.77	-0.23	<b>2.05</b>
	14400	<b>5.48</b>	0.16	<b>4.90</b>	<b>-1.10</b>	<b>2.98</b>
	0 kGy $\gamma$ -rad.	2.0	0.04	6.5	0.5	1.2
	30 kGy $\gamma$ -rad.	1.6	0.03	6.4	0.4	1.2
	55 kGy $\gamma$ -rad.	1.5	0.04	6.3	0.3	1.5
<b>Irradiation resistant Bromobutyl COATED</b>	0	1.59	0.06	5.43	-0.57	1.05
	720	1.99	0.06	5.47	-0.53	1.18
	3600	2.03	0.07	5.14	-0.86	1.40
	14400	2.11	0.10	<b>4.83</b>	<b>-1.17</b>	1.87

- Methodology :
  - 2 best different rubber formulations of previous test
  - Sterilized with different  $\text{CO}_2$  doses
  - Tested using WFI tests from different Pharmacopeia = more stringent than previous slide
  - Compared with Gamma as reference

# $\text{ClO}_2$ Sterilization

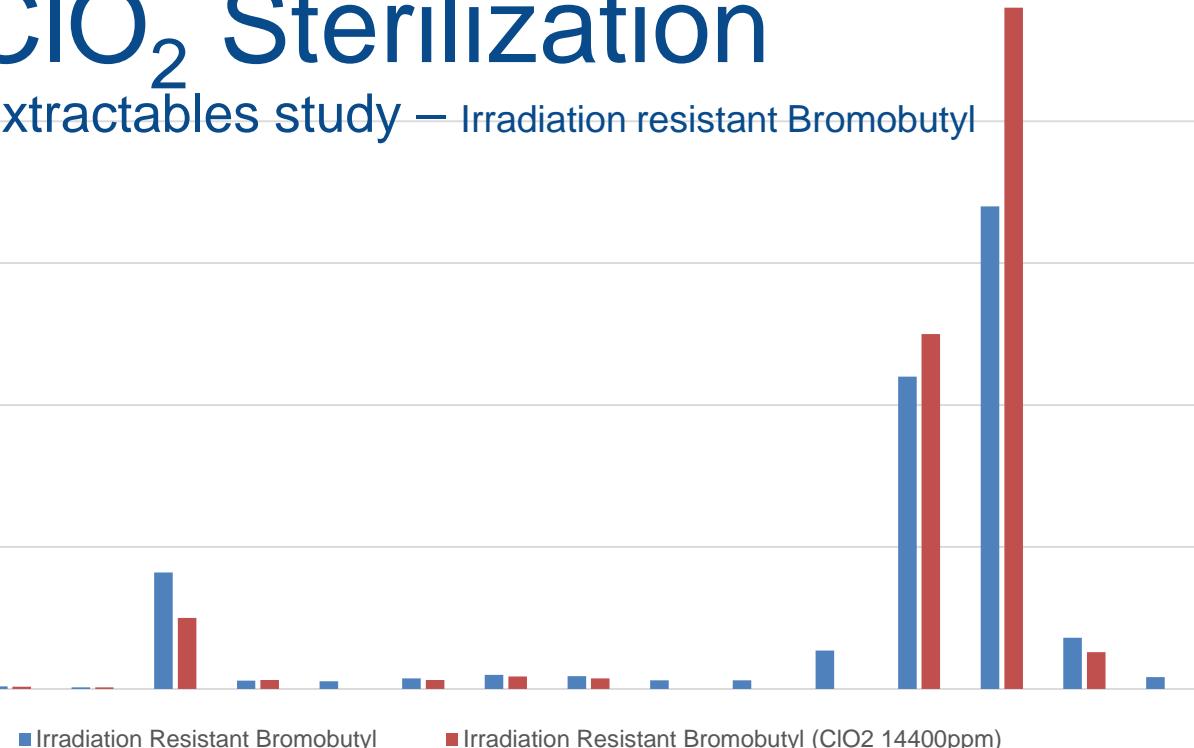
## Extractables study - Needle Shield SBR



- Methodology :
    - Needle Shield SBR
    - Sterilized with standard  $\text{ClO}_2$  dose
    - Closed vessel extraction  $70^\circ\text{C}/24\text{h}$  in Isopropanol
    - Analysis by
      - HS-GC/MS
      - GC/MS
      - LC/MS
    - Arbitrarily combined and expressed on 1 graph

# $\text{ClO}_2$ Sterilization

Extractables study – Irradiation resistant Bromobutyl



# Conclusions

## X-ray sterilization as alternative to gamma irradiation

- X-Ray sterilization can be a valuable alternative method for Gamma irradiation of bulk rubber products
- X-Ray affects rubber similar to Gamma. No added value
- X-Ray may become popular in the future for other reasons (economical, environmental, political, ..)

## ClO<sub>2</sub>

- ClO<sub>2</sub> can be a valuable alternative method for EtO sterilization of bulk rubber products or pre-assembled Needle Shields and Tip Caps on glass syringes
- Depending on the rubber formulations, ClO<sub>2</sub> may help in holding a Type I classification for USP <381>/ Pharm. Eur. 3.2.9. after sterilization

# Acknowledgments:

- Anita Thijss, Datwyler
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# Thank you:

- All of you



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